Attornev's

P.2 RECEIVE

FEB 0 5 2001

Applicant or Patentee:

Application or Patent No. Filed or Issued:

Xiao-Mai ZHOU

09/580,523 May 30, 2000 Docket No. TECHACTATER 1800/2900

COMPOUNDS AND METHODS FOR REGULATING APOPTOSIS, AND METHODS OF MAKING AND SCREENING FOR COMPOUNDS THAT

FEB 0 1 2001

For: REGULATE APOPTOSIS

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS (37 CFR 1.9(f) and 1.27 (c)) – SMALL BUSINESS CONCERN

I hereby declare that I am

If the owner of the small business concern identified below:

[X] an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF CONCERN ADDRESS OF CONCERN

APOPTOSIS TECHNOLOGY, INC.

128 SIDNEY STREET, CAMBRIDGE, MA 02139-4239

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled COMPOUNDS AND METHODS FOR REGULATING APOPTOSIS, AND METHODS OF MAKING AND SCREENING FOR COMPOUNDS THAT REGULATE APOPTOSIS by inventor Xiao-Mai ZHOU described in

☐ the specification fi	led herewith	**	1
[X] Application No.	09/580,523		1. A
☐ Patent No.			

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR 1.9(d) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

*NOTE: Separate verified statement are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

FULL NAME

IMMUNOGEN, INC.

ADDRESS

128 SIDNEY STREET, CAMBRIDGE, MA 02139-4239

☐ INDIVIDUAL

[X] SMALL BUSINESS CONCERN

☐ NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON	·
SIGNING	WALTER A. BLÄTTLER
TITLE IN ORGANIZATION	VICE-PRESIDENT, RESEARCH
ADDRESS OF PERSON	
SIGNING	128 SIDNEY STREET, CAMBRIDGE, MA 02139-4239
Signature W Faller	Date 7000 21 2007

☐ Patent No.

•		
Applicant or Patentee:	Xiao-Mai ZHOU	Attorney's
Application or Patent No.	09/580,523	Docket No.: A74
Filed or Issued;	May 30, 2000	
For:	COMPOUNDS AND METHODS FOR I METHODS OF MAKING AND SCREE REGULATE APOPTOSIS	REGULATING APOPTOSIS, AND INING FOR COMPOUNDS THAT
VERIFIED STATEMEN (37 CFR 1.9	NT (DECLARATION) CLAIMING S (f) and 1.27 (c)) — SMALL BUSINES	SMALL ENTITY STATUS SS CONCERN
I hereby declare that I am		
	small business concern identified below e small business concern empowered to	
NAME OF CONCERN	IMMUNOGEN, INC.	,
ADDRESS OF CONCERN	128 SIDNEY STREET, CAMBR	IDGE, MA 02139-4239
concern as defined in 13 CF reduced fees under Section 4 employees of the concern, in purposes of this statement, (I over the previous fiscal year temporary basis during each each other when either, directions are sections.)	we identified small business concern quell R 121.3-18, and reproduced in 37 CFR 1(a) and (b) of Title 35, United States C cluding those of its affiliates, does not explored the number of employees of the busines of the concern of the persons employed of the pay periods of the fiscal year, and thy or indirectly, one concern controls or less controls or has the power to control by	1.9(d), for purposes of paying Code, in that the number of exceed 500 persons. For less concern is the average on a full-time, part-time or 1 (2) concerns are affiliates of r has the power to control the
small business concern ident AND METHODS FOR REC	inder contract or law have been conveyed ified above with regard to the invention GULATING APOPTOSIS, AND METIOUNDS THAT REGULATE APOPTOR	n, entitled COMPOUNDS HODS OF MAKING AND
☐ the specification [X] Application No.		

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR 1.9(d) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

*NOTE: Separate verified statement are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

IMMUNOCEN, INC

FULL NAME	ADODTORIS TE	CUNIOI OCU DIC			
•		CHNOLOGY, INC.	<u> </u>		
ADDRESS	128 SIDNEY STR	SIDNEY STREET, CAMBRIDGE, MA 02139-4239			
	□ INDIVIDUA	L [X] SMALL BUSINESS CONCERN	□ NONPROFIT ORGANIZATION		
I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))					
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.					
NAME OF PE	erson	WALTER A. BLÄTTLER			
TITLE IN OR	GANIZATION	EXECUTIVE VICE-PRESIDENT, SCIENCE AND TECHNOLOGY			
ADDRESS O	F PERSON -				
SIGNING 128 SIDNEY STREET, CAMBRIDGE, MA 02139-4239					
Signature M. SCULLY Date Joen 31, 2001					